

COPY OF PAPERS
ORIGINALLY FILED

Atty. Dkt. No. AMAT/5970.02/CPES/CORE/ENG/DV



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Bailey, et al.

Serial No.: 10/006,019

Filed: December 06, 2001

Confirmation No.: 8416

For: Method for Removing
Contamination Particles
from Substrates

Group Art Unit: 1763

Examiner: Unknown

#3
BH
6/27/02

Assistant Commissioner for
Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING 37 CFR 1.8	
I hereby certify that this correspondence is being deposited on <u>5/21</u> , 2002 with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
<u>5/21/02</u> Date	<u>B. Todd Patterson</u> Signature

REQUEST FOR CORRECTION OF ERRORS ON FILING RECEIPT

The Filing Receipt for the above-referenced application contains errors regarding the attorney docket number and title. Please insert G/DV in the attorney docket number section. The attorney docket number should read AMAT/5970.02/CPES/CORE/ENG/DV. Also, please delete [Apparatus and] and insert Contamination in the title. The title should read Method for Removing Contamination Particles from Substrates.

Attached is a copy of the Filing Receipt with the above changes noted thereon. Please correct these errors or notify the applicants of the reasons for denying the request.

Respectfully submitted,

B. Todd Patterson
B. Todd Patterson
Registration No. 37,906
MOSER, PATTERSON & SHERIDAN, L.L.P.
3040 Post Oak Blvd., Suite 1500
Houston, TX 77056
Telephone: (713) 623-4844
Facsimile: (713) 623-4846
Attorney for Applicants

RECEIVED
JUN 21 2002
TC 1700



MAY 31 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPER
ORIGINALLY FILED

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	------------------	----------	------------	------------

10/006,019 ✓ 12/06/2001 ✓ 1763 ✓ 776 AMAT/5970.02/CPES/CORE/ 13 ✓ 22 ✓ 3 ✓
ENB/DV 4

AMAT/5970.02/CPES/CORE/ CONFIRMATION NO. 8416 ✓
ENB/DV

PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O. Box 450A
Santa Clara, CA 95052

REC'D FEB 04 2002

FILING RECEIPT



OC000000007369645

Date Mailed: 01/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

✓ Joel Brad Bailey, Austin, TX; ✓
✓ Reginald W. Hunter, Round Rock, TX; ✓

Assignment For Published Patent Application

Applied Materials, Inc.; ✓

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/315,102 08/27/2001 ✓

Foreign Applications

If Required, Foreign Filing License Granted 01/25/2002

Projected Publication Date: 02/27/2003

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED
JUN 21 2002
TC 1700

~~Apparatus and method for removing particles from substrates~~

Contamination

Insert

Preliminary Class

156

Method for removing contamination particles from
substrates

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).